

**2019 St. Baldrick's Foundation Grant Application
Information and Guidelines for
Consortium Research Grant Awards**

Letter of Intent (LOI) Due: January 31, 2019 5PM EST

Full Proposal Due: March 29, 2019 5PM EST

ABOUT THE ST. BALDRICK'S FOUNDATION

The St. Baldrick's Foundation is a volunteer and donor powered charity committed to supporting the most promising research to find cures for childhood cancers and give survivors long and healthy lives.

It started with a friendly dare: would you shave your head to raise money for kids' cancer research? What happened next would change the world. This bold act of baldness has gained major momentum, since its start in 2000. Today, we have more than 1,000 head-shaving events taking place around the world at pubs, restaurants, schools, churches, parks, firehouses, military bases – you name it. It is our constituents' way of changing the world, in a meaningful way.

Since the Foundation's first grants as an independent charity in 2005, St. Baldrick's has invested more than \$258 million in childhood cancer research grants worldwide. It's about collaboration. It's about powerful ideas, big and small. It's about never giving up until we have cures for all kids with cancers.

For more information, please visit StBaldricks.org. You can also search current and past grants at StBaldricks.org/grants.

FUNDING HIGHLIGHTS

The St. Baldrick's Foundation works hard to be sure that every dollar makes the biggest impact possible in childhood cancer research. The Foundation held Research Priorities Summit in 2010, 2012 and 2016 with many of the country's leading pediatric oncology researchers participating to advise the staff and board of directors on funding priorities.

The St. Baldrick's team and Scientific Advisors meet regularly to be sure St. Baldrick's funds make the greatest impact on pediatric cancer research.

Current funding priorities are divided into four categories:

- New discovery research
- Translational research and early phase clinical trials
- Phase III clinical trials & infrastructure support of participating institutions (primarily the fall grant cycle)

- Education of new pediatric oncology researchers

In addition to research to understand the biology of childhood cancers and discover leads to more effective treatments, topics of interest include, but are not limited to:

- Adolescents & young adults
- Survivorship, outcomes, and quality of life
- Supportive care
- Epidemiology and pediatric cancer predispositions
- Precision medicine
- Alternative & complementary therapies

FUNDING CYCLES

Spring Grant Cycle

Letter of Intent (LOI) due by January 31, 2019; applications due by March 29, 2019. Spring cycle grants are funded based on scientific review. The following programs/categories are available in the Spring Cycle:

- St. Baldrick's Scholars
- St. Baldrick's International Scholars
- Consortium Research Grants
- Research Grants
- Supportive Care Research Grants
- St. Baldrick's Summer Fellows (*no LOI, applications due via email by December 31, 2018*)

Fall Grant Cycle

Letter of Intent due by July 12, 2019; application due by August 30, 2019. Fellows are funded based on scientific review. Infrastructure grants are funded based on need, expected outcomes, and local St. Baldrick's participation, with a strong priority on geographic areas with no other St. Baldrick's funding. The following programs/categories are available in the Fall Cycle:

- Infrastructure Grants
- St. Baldrick's Fellows

Consortium Research Grant Award: Eligibility Requirements/Qualifications

These grants are for team science to support program projects, for a group of 3-5 or more institutions solving key questions in childhood cancer. These grants may be for up to five years.

- Existing consortia are preferred; the average grant will be 1-5 years, \$100,000 - \$500,000 annually. Due to the large amounts, these grants are made with the intent to support the full term, with funds committed one year at a time, based on acceptable progress. While no-cost extensions will be considered, adjustments may be made to the amount awarded each year and/or to extend the total time frame of the grant, for those with unspent balances of more than 30% in any given year.
- New or not-yet-formed consortia may also apply for first-year support for infrastructure and the beginning of research; the average grant will be \$50,000 - \$250,000. This reflects the reality that new consortia take more time to become fully operational. Upon successful completion of the first year, an application may be accepted as above for an existing consortium.
- Consortium Research Grants are one of many funding categories offered by the St. Baldrick's Foundation; it is possible for a program/institution to receive funding in more than one category. Consortia are considered as separate entities and more than one LOI/application may be submitted by an institution acting as lead.
- **NEW 2019 limited submissions policy exception:** Each program/institution may submit one additional LOI/application in the Spring funding cycle in **one** program/funding category of their choice. This is one additional LOI/application overall for the cycle, *not* one additional LOI/application per program/category.
 - Due to high interest from donors and low numbers of past applications, **the following qualify for the above limited submissions policy exceptions:**
 - Brain tumors – all types, including rare forms
 - Burkitt lymphoma
 - Complementary and alternative therapies
 - Hepatoblastoma
 - Langerhans Cell Histiocytosis
 - Rhabdoid tumors
- During each grant cycle, an applicant can only apply once as the lead Principal Investigator. This restriction applies also to the above described limited submissions policy exception.
- The Lead Institution must be located in the United States.
- Applicants need not be American citizens; however, they must work at an academic, medical, or research institution within the United States.
- Institutions that are actively involved in (sponsor, promote, or participate in) non-St. Baldrick's head-shaving fundraising events are not eligible to apply for St. Baldrick's funding.
- St. Baldrick's funds may not be used for human embryonic stem cell research.

- Research projects must have direct applicability and relevance to pediatric cancer. They may be in any discipline of basic, clinical, translational, or epidemiological research.
- Consortium Research Grant applicants should hold at least an M.D./D.O. or Ph.D. degree by the date the award becomes effective.
- All awards will be payable to the lead research institution (or to its foundation or funding arm) to administer for the purposes of this grant only.

Consortium Research Grant Award: LOI/Application Requirements

- Category/Cycle specific LOI Instructions/Requirements are available in ProposalCENTRAL upon starting the LOI and on the St. Baldrick's website (stbaldricks.org/for-researchers).
- LOI, application, and required documents must be submitted by the Principal Investigator, in English, online through ProposalCENTRAL (proposalcentral.altum.com) before 5 p.m. EST on the deadline.
- Consortium LOIs will be scientifically reviewed.
- All application instructions and templates/requirements will be available in ProposalCENTRAL upon approval of an LOI.
- It is the responsibility of the applicant to ensure and to verify that the application is received by the deadline date and that the application is complete and correct prior to submission.
- St. Baldrick's Foundation funds biomedical research to better understand the causes of pediatric cancers and to advance its prevention, treatment, and cure. The main output of this research is new knowledge. To ensure this knowledge can be accessed, read, applied, and built upon in fulfillment of our goals, St. Baldrick's Foundation encourages researchers to share data with the research community in accordance with the NIH policy on data sharing and expects its grantees to publish their findings, including but not limited to publication in peer reviewed journals. Applicants will be asked about data sharing plans as part of the application.
- All application evaluations are considered confidential and are available to scientific reviewers, the Foundation's Board of Directors, and the administrative personnel of the St. Baldrick's Foundation only.
- *Resubmissions*: Applicants with resubmissions have the option to check a box in ProposalCENTRAL on the title page of the application stating that it is a resubmission. Resubmissions are not marked in the Letter of Intent stage. You may mention it is a resubmission in your LOI. Applicants with a resubmission are asked to address the reviewer comments in the appendix. Be sure that the document addressing previous reviewer comments is listed in the table of contents for your appendix. Resubmission applicants will not have all three of the same reviewers. St. Baldrick's does ask at least one of the previous reviewers to re-review the resubmission. Once marked as a resubmission in ProposalCENTRAL, new reviewers will have access to the past reviewer

comments. Resubmissions are still required to go through limited submission decisions per the institution.

REVIEW AND SELECTION PROCESS

Each application is scientifically reviewed by qualified pediatric oncology research experts. Recommendations for funding are made to the St. Baldrick's Foundation Board of Directors, which makes the final decisions. The total funds granted are determined based on the funds available and the scientific quality of the applications.

Applicants selected for funding will be notified as soon as possible. Funding status shall be relayed through ProposalCENTRAL, by mail, email, or by phone. All rankings and evaluations are considered confidential.

Any questions following the notification of awards should be addressed in writing to:

Becky Chapman Weaver
Chief Mission Officer
Becky@StBaldricks.org
626.792.8247, ext. 212

Consortium Research Grant Award: Grant Terms

- When an application is approved for funding the transfer of funds is contingent upon all legal documents being signed and approved by the St. Baldrick's Foundation.
- No Cost Extensions must be requested and approved by email: Grants@StBaldricks.org. Requests must be submitted, stating the amount of funds remaining, a brief report of progress, an explanation of why the extension is necessary, and the length of time requested.
- For multi-year awards, the Principal Investigator may request that funds be moved from a previous or current period into a future period. Carry-forward requests should be submitted in writing (email: Grants@StBaldricks.org) with an explanation for the unexpended balance, a plan for the use of funds, and a side-by-side comparison between the original budget and future budget categorical items. Funds cannot be carried forward between two different award types (i.e. a Fellow to a Scholar award).
- Re-budget requests should be submitted in writing (email: Grants@StBaldricks.org) with an explanation of each change and a side-by-side comparison between the original budget and revised budget categorical items. Rebudgeting that results in expansion of scope will be reviewed by St. Baldrick's scientific advisors for approval.
- Some grants will be "named" for significant St. Baldrick's constituents (Hero Funds, major donors, high-ranking fundraising teams, corporate or foundation partners, etc.). Recipients of named grants must use the title designated by the Foundation whenever referring to the grant (example: The ABC Company St. Baldrick's Scholar or the Team Courtney St. Baldrick's Fellow). These grant recipients may also be asked to meet or communicate with these constituents.
- The institution's public relations staff will ensure all requirements detailed in the Publicity Form are understood and met. This form will be provided with the award acceptance paperwork.
- Grant recipients/Grantee will work with the Foundation to coordinate a joint press release to announce the grant and will give recognition to the St. Baldrick's Foundation where funders are listed on the institution's website and in its annual report.
- Grant recipients will become familiar with the St. Baldrick's Foundation and be prepared to speak publicly about its work, when appropriate. The Foundation encourages participation by grant recipients in St. Baldrick's events held in the geographic vicinity of their institution. Shaving one's head is not required; there are many ways to participate, including speaking at the event, helping to connect patients or survivors and their families to the Foundation to be honored, and participating in your favorite activity to raise funds for pediatric cancer research (i.e. running, walking, climbing a mountain, hosting another event, etc.).
- Grantee shall, at all times during the Grant Term and for a period of three years after the Grant Term maintain all records applicable to the research grant, including all records related to use of grant funds and records related to research supported by the grant. The Grantee shall at any time requested by the Foundation, whether during or after

completion of this Grant Term and at Grantee's own expense, make such records available for inspection and audit (including copies and extracts of records as required) by the Foundation, within 30 days of a written request for such records made by the Foundation. Such records shall be made available to the Foundation during normal business hours at the Grantee's office or place of business.

- St. Baldrick's has the right to review the performance of the Grantee and the Institution based on, among other things, the criteria set forth in the agreement, the grant application and budget, and the Institution's governance.
- Misconduct by a Grantee or Institution receiving St. Baldrick's Foundation support is contrary to the interests of the Foundation and its constituents, as well as the integrity of research and stewardship of donor funds. It is the responsibility of the Institution to immediately report to the St. Baldrick's Foundation if it has a reasonable good faith basis to believe there has been Misconduct (as defined below), and to report any Misconduct or change in the funded researcher's employment status with the Institution, including administrative leave, which may occur during the Grant Term of any award that is pertinent related to the work described in the grant application. Failure to abide by the terms above, or any other St. Baldrick's Foundation policies and procedures in connection the application and/or grant, may result in SBF suspending grant funding or canceling the grant, to be decided by the St. Baldrick's Foundation in its sole discretion. If a grant is discontinued, the Grantee shall receive a 30-day written notice. Any funds unspent at the time the notice is issued shall be returned to the St. Baldrick's Foundation.
- "Misconduct" is defined as: acts by the Grantee or Institution, including its employees performing work directly relevant to this grant (to the extent the act relates to the employees employment) relating to any (i) fraud, embezzlement, theft or other felonious conduct; (ii) willful or negligent acts damaging to the reputation of the Grantee; (iii) intentional violation of any law or regulation; (iv) failure to adhere to ethical research/medical standards; (v) financial mismanagement; or (vi) loss of certification.
- If requested, upon 30 days written notice, but no more than once every six months, Institution representative agrees to meet with the Foundation (via phone conference, video conference, or in person subject to St. Baldrick's preference), to discuss any concerns the Foundation may have with respect to use of grant funds or Grantee's operations or any allegations of Misconduct.
- If awarded, funds will be released upon receipt and sufficiency of all paperwork by the St. Baldrick's Foundation. Any inconsistency noted relative to the award shall be resolved by giving precedence in the following order: The signed award acceptance form; and, The St. Baldrick's Foundation Grant Application Information and Guidelines.
- Only Infrastructure grants are influenced by local St. Baldrick's participation. All other grants are funded based on scientific review.

IDENTIFICATION

The St. Baldrick's Foundation shall be identified as a funding source in all printed and/or electronic publications regarding the research project. Identification with the Foundation shall also be made in any news released pertaining to the research project by the public relations department or its equivalent at the sponsoring institution.

TRANSFER

Requests for transfers by a grantee to another institution while the research grant is in effect will be considered on a case-by-case basis and will require justification of an urgent need for the transfer as well as written approvals. Continuation of funding at the new institution is contingent on prior written approval from the Foundation after its review of the written request. The grantee must submit a request for transfer and appropriate documentation of justification accompanied by a letter of support from the new institution at least forty-five (45) days prior to the date of transfer. Notification of approval or denial of the transfer shall be in writing.

LEAVE OF ABSENCE

If the grantee's funding period is interrupted for any reason, written permission must be obtained from the Foundation if the grantee's term is to be continued at a later date. Leaves of absence are limited to not more than one year. In the event a grantee's term is not completed due to incapacitating illness or death, the prorated, unexpended funds must be returned to the Foundation. Requests for a leave of absence from the Foundation should be submitted in writing thirty (30) days before the date of commencement for the leave of absence.

REINSTATEMENT OF AWARD

Funds will not be reinstated after the Foundation has received notification in writing from the grantee of the intent to terminate research. Those wishing to resume funding are welcome to submit a new application, which will compete on an equal basis with all others during the subsequent funding cycle.

PROGRESS REPORTS

Grant recipients must submit reports of his/her annual research progress online via ProposalCENTRAL by the dates specified in ProposalCENTRAL, using the template provided. This report includes a report written for the lay public. The grant recipient shall forward copies of all publications concerning the research funded by the grant, both during and after the grant period. A brief Interim Update verifying receipt of funds and usage is due after the first three (3) months of each grant year. These reports must be completed using the templates available on ProposalCENTRAL. Progress reports that are more than thirty (30) days late will impact the Primary Investigator's consideration and release of future awards.

PUBLICATIONS

Please notify the St. Baldrick's Foundation (email: Grants@StBaldricks.org) as soon as you know of a publication's acceptance, to allow time for preparation for any publicity, blog stories, etc., to be coordinated between St. Baldrick's and your institution. Grant recipients must submit publications online via ProposalCENTRAL prior to or within thirty (30) days of publication date.

EXPENDITURE REPORTS

The grantee must annually submit a report of expenditures, due within sixty (60) days of the end of each grant year. All expenditures are expected to follow the approved budget. Expenditure reports should separate the costs for each year and not be cumulative. (For a three-year award, the report for year three should only cover expenses in that year.) These reports must be submitted online via ProposalCENTRAL and should include the grantee name, reporting period, and breakdown of expenditures by budget categorical items.

HUMAN INVESTIGATION

All human subject research or research on human tissue which is supported by St. Baldrick's must comply with the regulations applicable to that supported by the National Institutes of Health. As part of the application, the applicant will be asked to submit documentation of approval of the study by his or her Institutional Review Board, along with the Institution's Human Subjects Assurance Number. Approval is not required at the application stage but will be required prior to issuing funds. If the grantee's research plan changes after the award to include human subjects or tissue, the grantee must submit proper documentation of IRB approval to St. Baldrick's.

BIOHAZARDS

During the application process, the institution shall submit an acknowledgment of potential biohazards involved and provide an institutional statement of assurances regarding potential biohazards and safeguards pertaining to this aspect of the research proposed in the application to the Foundation. Projects which do not involve biohazards must so state. The St. Baldrick's Foundation assumes no responsibility or liability for any such biohazard and shall be held harmless from the results of the use of any such biohazard.

LABORATORY ANIMALS

The Foundation adheres to the most current guidelines applicable to the care and treatment of animals used in laboratory work as outlined by the National Institutes of Health. Prior to award of the grant, the institution must submit a statement that the institution meets and adheres to these policies. Failure to notify the St. Baldrick's Foundation of compliance with these guidelines or the use of laboratory animals may result in termination of the grant.

BUDGET GUIDANCE

- All budgets must be justified, meet the test of reasonableness, and be consistent with institutional policy.
- No institutional overhead or indirect funding is provided under the terms of the grant.
- Fringe/benefits are allowed for up to 30% of the salary requested per individual, on all grants.
- Fringe benefits are generally defined as medical and dental insurance, life insurance, and retirement benefits and are payable only for eligible participation in such programs.
- Overlap in funding is not permitted. St. Baldrick's policy on overlap applies to Scientific, Budget, and Commitment Overlap as defined by the NIH.

- Scientific Overlap: Scientific overlap occurs when substantially the same research is proposed in more than one application or is submitted to two or more different funding sources for review and funding consideration; or when a specific research objective and the experimental design for accomplishing that objective are the same or closely related in two or more pending applications or awards, regardless of funding source.
- Budget Overlap: Budgetary overlap occurs when duplicate or equivalent budgetary items (i.e., equipment, salary) are requested in an application but are already funded by another source.
- Commitment Overlap: Commitment overlap occurs when any project-supported personnel has time commitments (i.e., percent effort) exceeding 100%, regardless of how the effort/salary is being supported or funded.
- Situations may occur when an applicant has similar applications pending with different agencies that, if all funded, will present overlap issues. If an overlap occurs before award is accepted, it must be addressed with the St. Baldrick's Foundation before the new award can be accepted and will be considered on a case-by-case basis.
- If overlap occurs during an award, all grantees must be forthright regarding their support and notify the foundation within 30 days by emailing Grants@StBaldricks.org. Adjustments will be considered on a case-by-case basis.
- Reasonable travel costs are allowable on Consortium Research Grant awards.
- Publication and meeting-related poster printing costs are allowable on Consortium Research Grant Awards.
- All equipment purchases must be included in the original budget; re-budgets for additional equipment will not be considered.
- Unused and remaining amounts of \$100 and over must be returned to the Foundation at the end of each grant year, unless an approved carry forward or No Cost Extension is on file.
- All budgets and expenditure reports must be submitted in USD.
- Expenditure reports are expected to follow approved budgets. Any budget line item that changes more than 25% from approved budget to expenditure report (without an approved Carry Forward on file) will result in follow up from the Foundation for explanation. Explanations will be reviewed for approval.
- The Foundation does not provide funds for items such as:
 - Secretarial/administrative salaries.
 - Student tuition (tuition is allowable for International Scholar awards).
 - Office and laboratory furniture.
 - Office equipment and supplies.
 - Recruiting and relocation expenses.
 - Non-medical services to patients (travel to a clinical site or patient incentives are allowable expenses).
 - Construction, renovation, or maintenance of buildings/laboratories.
 - Professional association membership dues.

- Scientific publication subscriptions.

**CONSORTIUM RESEARCH GRANT AWARD APPLICATION AND AWARD
TIMELINE**

Letter of Intent due by: January 31, 2019

Application submission deadline: March 29, 2019

Award announcements by: Before June 30

Award period begins: July 1, 2019

USING ProposalCENTRAL

The St. Baldrick's Foundation uses ProposalCENTRAL (proposalcentral.altum.com) for electronic submission of all LOIs and Full Applications. Fax, email, or hard copy submissions will not be accepted. See "How to Create an Application using proposalCENTRAL" at proposalcentral.altum.com/docs/CreateApp.pdf for more information.

Registration

First-time users must register and fill out a Professional Profile in ProposalCENTRAL to begin the LOI/Application process (see "How to Register as a ProposalCENTRAL User" at proposalcentral.altum.com/docs/RegUser.pdf for more information).

Submitting the LOI/Application

1. LOG-IN & BEGIN AN LOI/APPLICATION. To start a new LOI/application, login as an Applicant, and select the Grant Opportunities tab (grey tab on the top right). Find St. Baldrick's Foundation in the drop-down list and select "Filter List by Grant Maker." Find the program for which you wish to apply, and click "Apply Now" to begin the proposal.
2. COMPLETE AN APPLICATION AFTER LOI APPROVAL. Login as an Applicant, and select the Proposals tab. Select the "In Progress" status from the drop-down menu. Find the LOI that was approved, and click the "Edit" button to access and complete your application.
3. ENABLE ACCESS FOR OTHER USERS. The Principal Investigator must start the LOI or Application. However, once an application is begun, access for application submittal can be given to other users, such as collaborators, assistants, or grants and contracts staff.
4. SAVE. Applicants do not need to complete the LOI/Application in one session; a partially completed application can be saved and completed at any time prior to the deadline.
5. NOTIFICATION OF LOI APPROVAL. The system will notify you when the LOI has been approved, and you will be asked to login to the ProposalCENTRAL website to access and submit the full application by the application deadline.
6. VALIDATE. Applications that have not been validated cannot be submitted. "Validate" checks for required items and attachments, and you will not be able to submit if required items and/or attachments are missing.
7. SUBMIT. After successfully passing the validate check and uploading your signature page, click the "Submit" link. An email will confirm your submission. Once your application is submitted, you may view it by accessing the "Submitted" status under the "Proposals" tab.

It is the responsibility of the applicant to ensure and verify that the application is received by the deadline date, before 5 p.m. EST and that the application is complete and correct prior to submission.

Instructions for Submitting Deliverables

The ProposalCENTRAL system is designed to collect and manage all grant information. Grantees must keep their ProposalCENTRAL profile current for the duration of the grant. ProposalCENTRAL should be used to upload all required reports (deliverables) and publications related to the grant. Report templates and deadlines can be found on the site, as well. Grantees may provide access to others at their institution (e.g. grants officers) to access and upload deliverables.

See “Instructions for Navigating Your Awarded Grant” at https://proposalcentral.altum.com/docs/Instructions_Award_Info.pdf for more information.

Uploading Award Deliverables

1. Login to ProposalCENTRAL under the “Applicant Login” section: proposalcentral.altum.com.
2. Click the “Awards” tab.
3. Click the blue Identifier number link.
4. Click the blue “Deliverables” link.
5. On this page you will see a table which lists all of the deliverables that are scheduled for your grant. The templates for these deliverables are at the bottom of this page.
6. To upload completed deliverables, click the blue “Upload” link next to the appropriate deliverable. If you have a pop-up blocker turned on, make sure you disable it for this site as the deliverable upload feature is a pop-up.
7. In the deliverable pop-up window, click the “Browse” button to select the file. You can add a description in the "Deliverable Description" if you choose. When finished, click the “Save” button, and it will upload your deliverable.

Submitting Publications

All printed and/or electronic publications related to the work done using St. Baldrick's funds must be uploaded to ProposalCENTRAL on a continual basis. Publications must be manually entered by selecting the “Add Deliverable” option in the deliverables section of the award.

1. To add a deliverable, click the blue “Add Deliverable” link at the top left of the deliverable table. If you have a pop-up blocker turned on, make sure you disable it for this site as the deliverable upload feature is a pop-up.
2. In the deliverable pop-up window, choose Publications from the drop-down menu, “Select Deliverable Type.”
3. Click the “Browse” button to select the file. You can add a description in the “Deliverable Description” if you choose. When finished, click the “Save” button, and it will upload your deliverable.

Useful Resources for ProposalCENTRAL

- How to register your institution (grants and contracts personnel only): proposalcentral.altum.com/docs/RegInst.pdf
- How to register as a ProposalCENTRAL user:

proposalcentral.altum.com/docs/RegUser.pdf

- How to create an application using ProposalCENTRAL:
proposalcentral.altum.com/docs/CreateApp.pdf
- Grantee instructions to access award information:
https://proposalcentral.altum.com/docs/Instructions_Award_Info.pdf

Contact

For help using the online application system:

ProposalCENTRAL Customer Support

pcsupport@altum.com

800.875.2562